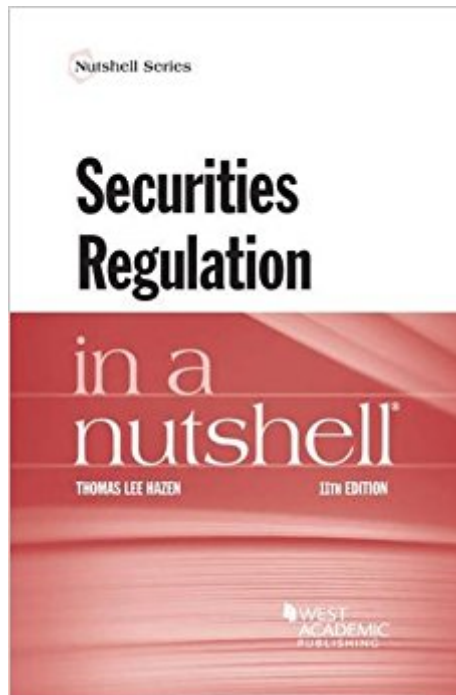




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# Securities Regulation In A Nutshell (Nutshells)



## Synopsis

This title will help you acquire an understanding of the basic content and organization of federal and state securities law. It provides a summary of an intricate regulatory system. An authoritative summary, it covers the essential background and current status of each major area, while keeping details and citations to a minimum. It discusses the regulations governing public offerings, public companies, exemptions from SEC disclosure requirements, securities broker-dealers, as well as investment companies and investment advisers. It also explores sanctions, civil liabilities, and extraterritorial application. This edition includes recent developments including the Dodd-Frank Act as well as the JOBS Act, including the new crowdfunding and expanded Regulation A exemptions.

## Book Information

Series: Nutshells

Paperback: 449 pages

Publisher: West Academic Publishing; 11 edition (August 2, 2016)

Language: English

ISBN-10: 168328318X

ISBN-13: 978-1683283188

Package Dimensions: 7.3 x 4.9 x 0.7 inches

Shipping Weight: 14.4 ounces (View shipping rates and policies)

Average Customer Review: 1.0 out of 5 stars 3 customer reviews

Best Sellers Rank: #770,370 in Books (See Top 100 in Books) #69 in Books > Law > Business > Securities #7779 in Books > Textbooks > Law

## Customer Reviews

This review refers only to the digital version of this book. I hope the print version serves as a better resource to those who have purchased it. While this book has a great deal of promise, it is riddled with typos and inaccuracies that make it unusable. Considering the fact that this work is authored by Hazen, my expectations were very high, however because the information is unreliable and basic errors proliferate throughout, it is useless to me. I would love to get a chance to see the print version to see if these problems are addressed therein.

my guy sent me a conlaw book...he refunded my money but i failed securities because of it

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Dear author, I'm studying for my final that is days away and you are wasting my time, I don't appreciate it. Piece of S\*\*\* book doesn't have page numbers in the index, it refers to terms by section number. To find a term I have to look through 10 pages of a section. How could anyone possibly think that's a good idea, the pages are numbered anyways!!

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